

# Open String TFT on the Elliptic Curve

W.Lerche, ASC München 04-2006

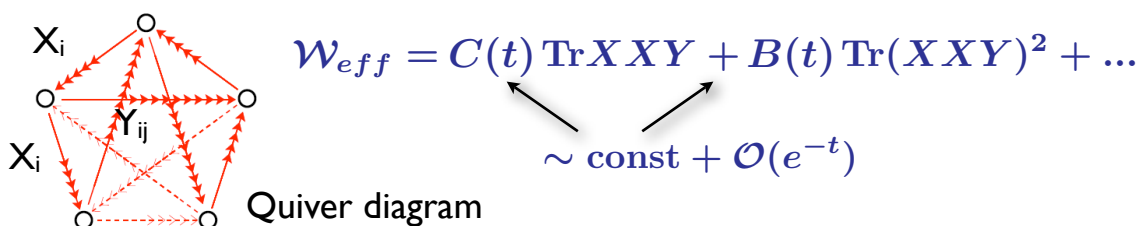
hep-th/0408243, with I. Brunner, M. Herbst, J. Walcher  
hep-th/0512208, with S. Govindarajan, H. Jockers, N. Warner  
hep-th/0603085, with M. Herbst, D. Nemeschansky

Prior important work by:  
Kontsevich, Kapustin/Li, Zaslow/Polishchuk

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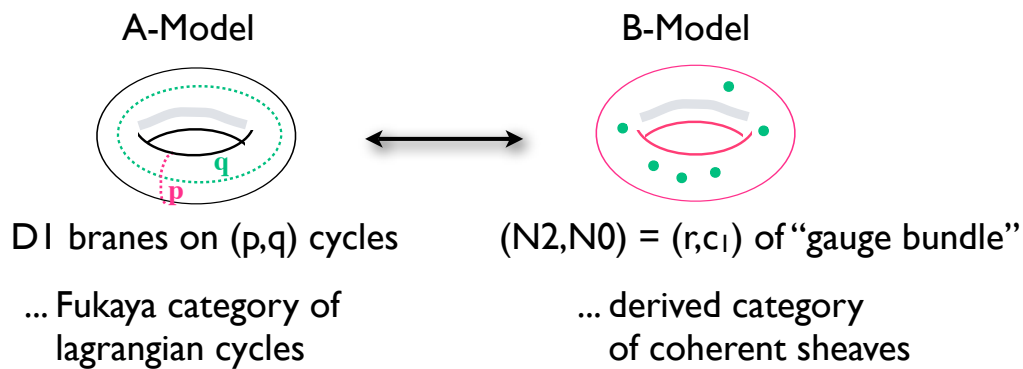
## Overview

- Why is this interesting to study ?  
...completely solvable toy model
- Non-trivial for intersecting brane configurations
- Playground for studying “homological mirror symmetry”  
between categories of A- and B-type of topological D-branes
- Practical application: compute correlation functions, effective superpotential including world-sheet instanton corrections



# Homological mirror symmetry

[Kontsevich]

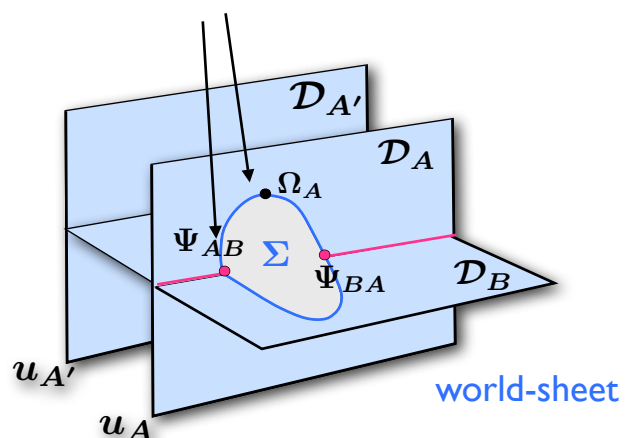
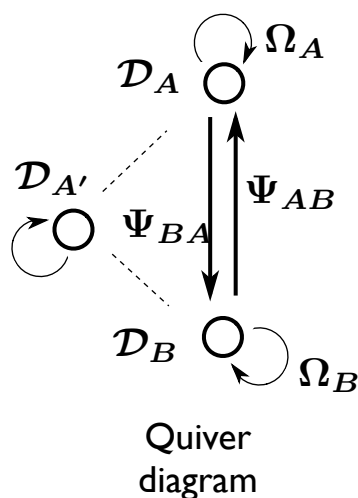


- There is much more to categories than just some diagrams with dots and arrows:  
Besides RR charges  $(p,q)=(r,c_1)$ , it also keeps information about the **positions**  $u$  of the branes:  
(irreducible) bundles on T2 are fully characterized by  $(r, c_1; u)$   
These open string “moduli” are an important ingredient in  $\mathcal{W}_{eff}(\tau, u)$

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## The category of topological D-branes

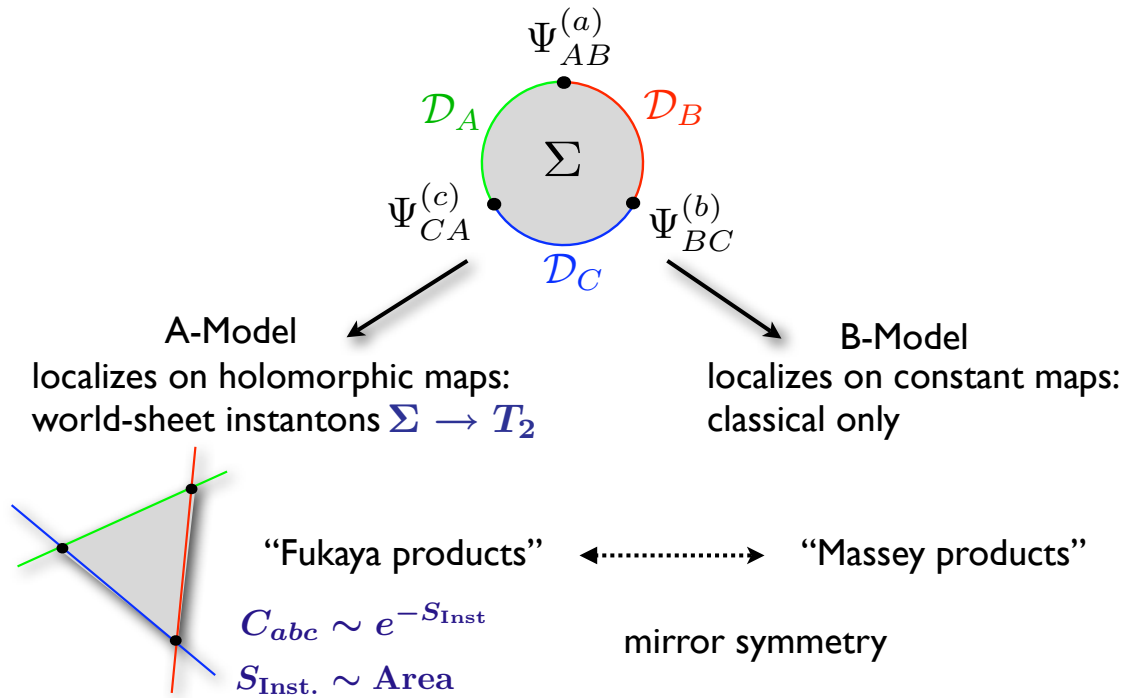
- objects:  $\mathcal{D} \longleftrightarrow$  boundary conditions, D-branes
- morphisms (maps):  $\Omega, \Psi \longleftrightarrow$  boundary preserving/changing open string vertex operators



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# TFT correlation functions

Disk amplitude for intersecting branes  $C_{abc}(\tau; u_1, u_2, u_3) = \langle \Psi_{AB}^{(a)} \Psi_{BC}^{(b)} \Psi_{CA}^{(c)} \rangle$



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# The topological B-Model

Category of (topol) B-type D-branes

Category of matrix factorizations

Boundary Landau-Ginzburg models

Open string topological CFT

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# Landau-Ginzburg description of B-type D-branes

[K,O,K-L,B-H-L-S]

- Consider bulk LG model with superpotential:

$$\int_{\Sigma} d^2z d\theta^+ d\theta^- W_{LG}(\Phi) + cc.$$

B-type SUSY variations induce boundary (“Warner”)-term:

$$\begin{aligned} \int_{\Sigma} d^2z d\theta^+ d\theta^- (\bar{Q}_+ + \bar{Q}_-) W_{LG} &= \int_{\Sigma} d^2z d\theta^+ d\theta^- (\theta^+ \partial_+ + \theta^- \partial_-) W_{LG} \\ &= \int_{\partial\Sigma} dx d\theta W_{LG} \end{aligned}$$

- Restore SUSY by adding boundary fermions  $\Pi = (\pi + \theta^+ \ell)$   
(... not quite chiral:  $\bar{D} \Pi = E(\Phi)|_{\partial\Sigma}$ )

via a boundary potential:  $\delta S = \int_{\partial\Sigma} dx d\theta \Pi J(\Phi)$

Condition for SUSY:

$$J(\Phi)E(\Phi) = W_{LG}(\Phi)$$

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## Matrix factorizations

- Generalization for n LG fields: need  $N=2^n$  boundary fermions, and

$$J_{N \times N} \cdot E_{N \times N} = E_{N \times N} \cdot J_{N \times N} = W_{LG} 1_{N \times N}$$

- Physical interpretation: N... Chan-Paton labels of space-filling  $D\bar{D}$  pairs

Boundary potentials J,E are **tachyon profiles** that describe condensation to given B-type D-brane configuration [Kapustin-Li, Lazaroiu]

- Physical open string spectrum: determined by the cohomology of the BRST operator:

$$Q = \bar{\partial} + Q_{\partial}$$

$$Q_{\partial} = \pi J + \bar{\pi} E = \begin{pmatrix} & J \\ E & \end{pmatrix}$$

$$1/2 Q_{\partial} \cdot Q_{\partial} = W_{LG} 1_{2N \times 2N}$$

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# Kontsevich's triangulated category $C_W$

The LG model provides a concrete physical realization of a certain  $\mathbb{Z}_2$ -graded “twisted” category  $C_W$ : all quantities have explicit LG representatives

- objects: composites out of  $D\bar{D}$  pairs:

$$M_A \cong \left( P_1^{(A)} \begin{array}{c} \xrightarrow{J^{(A)}} \\ \xleftarrow{E^{(A)}} \end{array} P_0^{(A)} \right), \quad J^{(A)} E^{(A)} = W$$

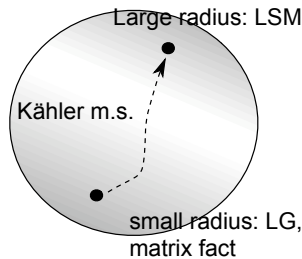
- morphisms (boundary Q-cohomology):

$$\begin{array}{ccc}
 M_A & & \left( P_1^{(A)} \begin{array}{c} \xrightarrow{J^{(A)}} \\ \xleftarrow{E^{(A)}} \end{array} P_0^{(A)} \right) \\
 \downarrow & \cong & \downarrow \phi_\alpha^{A,B} \quad \downarrow \psi_\alpha^{A,B} \quad \downarrow \psi_\alpha^{A,B} \quad \downarrow \phi_\alpha^{A,B} \\
 M_B & & \left( P_1^{(B)} \begin{array}{c} \xrightarrow{J^{(B)}} \\ \xleftarrow{E^{(B)}} \end{array} P_0^{(B)} \right)
 \end{array}$$

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# Large radius linear sigma model

[Herbst/Hori/Page, in prep]



“fold out” acyclic complex:

$$\left( \dots \quad P_1^{(A)} \xrightarrow{J^{(A)}} P_0^{(A)} \xrightarrow{E^{(A)}} P_1^{(A)} \xrightarrow{J^{(A)}} P_0^{(A)} \xrightarrow{E^{(A)}} \dots \right)$$

Category of Matrix factorizations is isomorphic to  $D(\text{Coh}(M)) =$  category of B-type D-branes.

[Orlov]

Maps  $J, E$ : sections of certain bundles

[GHLW]

$\text{Ker } J, \text{Ker } E$  encode bundle data of branes:  $(r, c_1, \dots; u)$

# Bound State formation via tachyon condensation

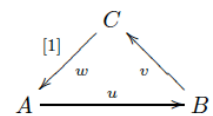
- Boundary changing tachyon profile:

$$J_{AB}(u_A, u_B, T) = \begin{pmatrix} J_A(u_A) & T\Psi_{AB}(u_A, u_B) \\ 0 & J_B(u_B) \end{pmatrix}$$

For non-zero tachyon field T, this corresponds to a new matrix factorization, describing a “bound state” (non-trivial bundle extension)

- Boundary RG flow: physical realization of the “cone” construction:

triangle:  $M_A \xrightarrow{\Psi_{AB}} M_B \longrightarrow C \longrightarrow M_A[1]$



cone:  $C = \left( P_1^{(A)} \oplus P_0^{(B)} \xrightleftharpoons[E_{AB}]{J_{AB}} P_0^{(A)} \oplus P_1^{(B)} \right)$

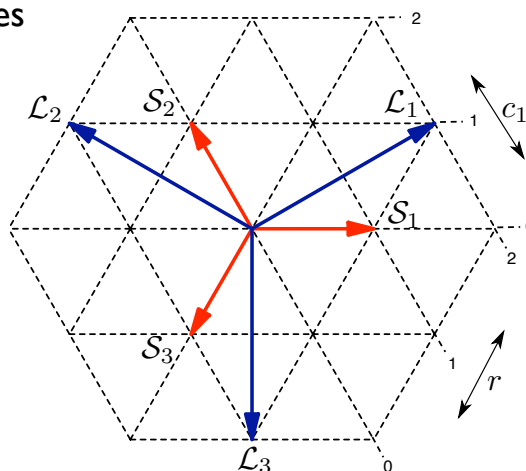
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# D-branes on the elliptic curve, B-Model

- Simplest Calabi-Yau: the cubic curve

$$T_2 : W_{LG} \equiv x_1^3 + x_2^3 + x_3^3 + a x_1 x_2 x_3 = 0$$

- Charges of simplest branes

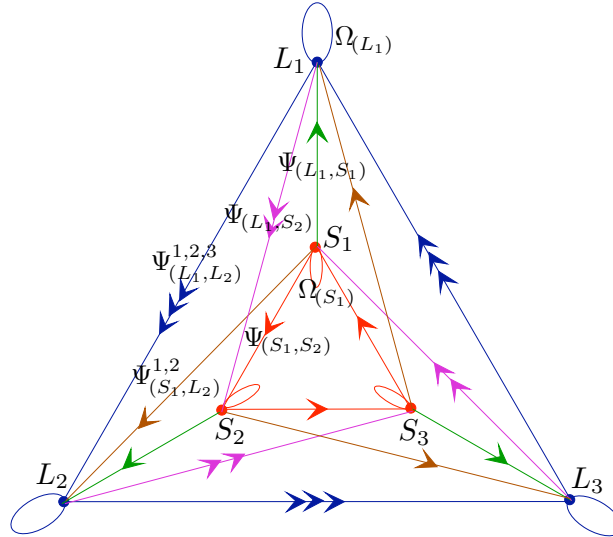


The “short diagonals” S are related to 2x2 factorizations, while the “long diagonals” L are described by 3x3 (4x4) factorizations

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# D-branes on the elliptic curve, B-Model

- Quiver diagram of open string spectrum

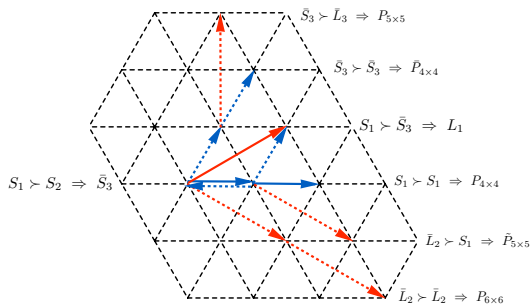


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## Generating the charge lattice

- One can systematically apply the cone construction, and generate matrix factorization corresponding to branes with arbitrary RR charges (rank(V), c1(V)) as composites out from a generating set

[GHLW]

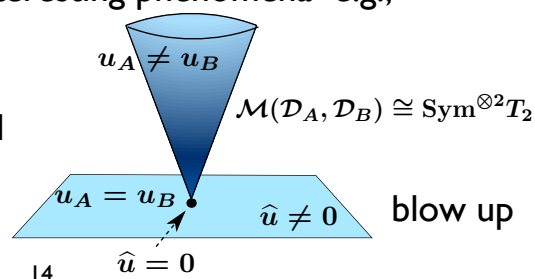


$$M_A \xrightarrow{\Psi_{AB}^{(C)}} M_B \longrightarrow C \longrightarrow M_A[1]$$

particular choice of tachyon determines bound state

- There is more to it than just adding RR charges, due to the moduli dependence of the matrices... interesting phenomena e.g.,

bound states at threshold



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## 3x3 matrix factorization

- Simplest are the factorizations corresponding to the long diagonals  $L_i$

$$J_i = \begin{pmatrix} \alpha_1^{(i)} x_1 & \alpha_2^{(i)} x_3 & \alpha_3^{(i)} x_2 \\ \alpha_3^{(i)} x_3 & \alpha_1^{(i)} x_2 & \alpha_2^{(i)} x_1 \\ \alpha_2^{(i)} x_2 & \alpha_3^{(i)} x_1 & \alpha_1^{(i)} x_3 \end{pmatrix} \quad (i=1,2,3)$$

$$E_i = \begin{pmatrix} \frac{1}{\alpha_1^{(i)}} x_1^2 - \frac{\alpha_1^{(i)}}{\alpha_2^{(i)} \alpha_3^{(i)}} x_2 x_3 & \frac{1}{\alpha_3^{(i)}} x_3^2 - \frac{\alpha_3^{(i)}}{\alpha_1^{(i)} \alpha_2^{(i)}} x_1 x_2 & \frac{1}{\alpha_2^{(i)}} x_2^2 - \frac{\alpha_2^{(i)}}{\alpha_1^{(i)} \alpha_3^{(i)}} x_1 x_3 \\ \frac{1}{\alpha_2^{(i)}} x_3^2 - \frac{\alpha_2^{(i)}}{\alpha_1^{(i)} \alpha_3^{(i)}} x_1 x_2 & \frac{1}{\alpha_1^{(i)}} x_2^2 - \frac{\alpha_1^{(i)}}{\alpha_2^{(i)} \alpha_3^{(i)}} x_1 x_3 & \frac{1}{\alpha_3^{(i)}} x_1^2 - \frac{\alpha_3^{(i)}}{\alpha_1^{(i)} \alpha_2^{(i)}} x_2 x_3 \\ \frac{1}{\alpha_3^{(i)}} x_2^2 - \frac{\alpha_3^{(i)}}{\alpha_1^{(i)} \alpha_2^{(i)}} x_1 x_3 & \frac{1}{\alpha_2^{(i)}} x_1^2 - \frac{\alpha_2^{(i)}}{\alpha_1^{(i)} \alpha_3^{(i)}} x_2 x_3 & \frac{1}{\alpha_1^{(i)}} x_3^2 - \frac{\alpha_1^{(i)}}{\alpha_2^{(i)} \alpha_3^{(i)}} x_1 x_2 \end{pmatrix}$$

[HW]

These satisfy  $J_i E_i = E_i J_i = W_{LG} 1$

if the parameters satisfy the cubic equation themselves:

$$W_{LG}(\alpha_i) \equiv \alpha_1^3 + \alpha_2^3 + \alpha_3^3 + a(\tau) \alpha_1 \alpha_2 \alpha_3 = 0$$

Thus the parameters parametrize the (jacobian) torus and can be represented by theta-sections:

$$\alpha_\ell^{(i)} \sim \Theta \left[ \frac{1-\ell}{3} - \frac{1}{2} - \frac{1}{2} \mid 3u_i, 3\tau \right]$$

$u, \tau$  ...flat coordinates of open/closed moduli space (natural in mirror A-model)

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## Open string BRST cohomology

Solving for the BRST cohomology yields explicit  $t, u$ -moduli dependent, "flat" matrix valued maps, eg ( $a=1,2,3$ ):

- $q=1$  marginal operators corr. to brane locations

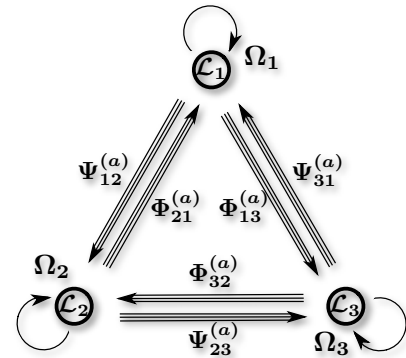
$$\text{Ext}(\mathcal{L}_A, \mathcal{L}_A) : \Omega_A = \partial_{u_A} Q(u_A)$$

- $q=1/3$  tachyon operators

$$\text{Ext}(\mathcal{L}_A, \mathcal{L}_B) : \Psi_{AB}^{(a)} = \begin{pmatrix} 0 & F_{AB}^{(a)} \\ G_{AB}^{(a)} & 0 \end{pmatrix}$$

$$\text{with eg, } F_{12}^{(1)} = \begin{pmatrix} \zeta_1 & 0 & 0 \\ 0 & 0 & \zeta_2 \\ 0 & \zeta_3 & 0 \end{pmatrix} \quad G_{12}^{(1)} = \begin{pmatrix} \frac{\zeta_1}{\alpha_1^{(1)} \alpha_1^{(2)}} x_1 & \frac{\zeta_3}{\alpha_1^{(1)} \alpha_2^{(2)}} x_2 & \frac{\zeta_2}{\alpha_1^{(1)} \alpha_3^{(2)}} x_3 \\ \frac{\zeta_2}{\alpha_1^{(2)} \alpha_3^{(1)}} x_2 & \frac{\zeta_1}{\alpha_2^{(2)} \alpha_3^{(1)}} x_3 & \frac{\zeta_3}{\alpha_3^{(1)} \alpha_3^{(2)}} x_1 \\ \frac{\zeta_3}{\alpha_1^{(2)} \alpha_2^{(1)}} x_3 & \frac{\zeta_2}{\alpha_2^{(1)} \alpha_2^{(2)}} x_1 & \frac{\zeta_1}{\alpha_2^{(1)} \alpha_3^{(2)}} x_2 \end{pmatrix}$$

$$\text{and } \zeta_\ell \sim \Theta \left[ \frac{1-\ell}{3} - \frac{1}{2} - \frac{1}{2} \mid 3u_2 - 3u_1, 3\tau \right]$$



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## Superpotential on brane intersection

- Compute 3-point disk correlators = Yukawa couplings in LG framework

$$\mathcal{W}_{\text{eff}} \sim C_{abc}(u_i, \tau) T_{13}^{(a)} T_{32}^{(b)} T_{21}^{(c)} + \dots$$

$$\begin{aligned} C_{abc}(u_1, u_2, u_3) &= \langle \Psi_{13}^{(a)}(u_1, u_3) \Psi_{32}^{(b)}(u_3, u_2) \Psi_{21}^{(c)}(u_2, u_1) \rangle \\ &= \frac{1}{2\pi i} \oint \text{Str} \left[ \left( \frac{dQ}{dW} \right)^{\otimes 3} \Psi_{13}^{(a)} \Psi_{32}^{(b)} \Psi_{21}^{(c)} \right] \end{aligned}$$

- Final result: theta functions

$$C_{111}(\tau, \xi) = e^{6\pi i \xi_1 \xi_2} q^{3\xi_2^2/2} \sum_m q^{3m^2/2} e^{6\pi i m \xi}$$

$$C_{123}(\tau, \xi) = e^{6\pi i \xi_1 \xi_2} q^{3\xi_2^2/2} \sum_m q^{3(m+1/3)^2/2} e^{6\pi i (m+1/3)\xi}$$

$$C_{132}(\tau, \xi) = e^{6\pi i \xi_1 \xi_2} q^{3\xi_2^2/2} \sum_m q^{3(m-1/3)^2/2} e^{6\pi i (m-1/3)\xi}$$

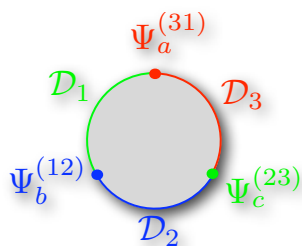
$$(\xi \equiv u_1 + u_2 + u_3 = \xi_1 + \tau \xi_2)$$

(Polishchuk, Cremades et al)

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## The topological A-Model: instantons

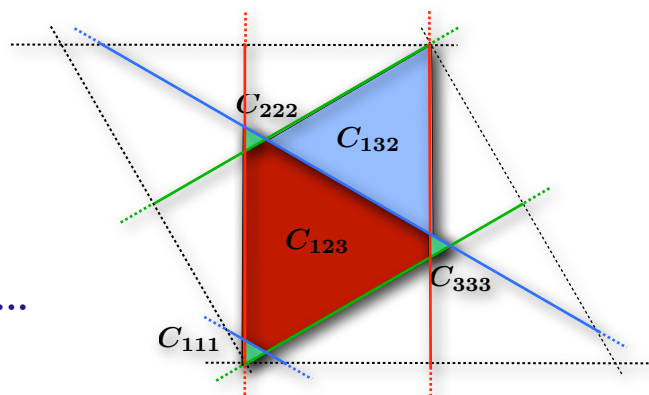
- Interpretation of q-series: In A model mirror language, these are contributions from triangular disk instantons whose world-sheets are bounded by the three D1-branes:



$$\Sigma \rightarrow T_2$$

$$C_{abc} \sim e^{-S_{\text{inst}}} \sim q^{\Delta_{abc}} + \dots$$

(the u-dependence corresponds to position and Wilson line moduli)



- B-model: unclear how to compute higher N-point functions with N>3!

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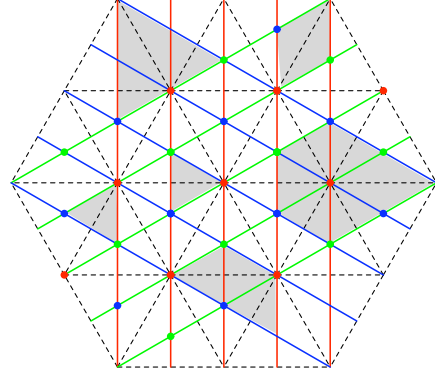
# Complete effective potential (long diag branes)

$$\mathcal{W}_{\text{eff}}(\tau, u, T) = \sum_{N=1}^6 T^{(a_1)} \dots T^{(a_N)} C_{a_1, \dots, a_N}^{(N)}(\tau, u_1, \dots, u_N)$$

↑
↑  
 topl. tachyons                      moduli

- Generically, N-point functions get contributions from N-gonal instantons
- General structure: indefinite theta-functions summing over all lattice translates, positive areas

$$\sum'_{m,n} q^{mn} \equiv \left( \sum_{m,n>0} - \sum_{m,n<0} \right) q^{mn}$$



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## Polygons and instantons

**N=4: trapezoids**

$$\mathcal{T}_{ab\bar{c}\bar{d}}(\tau, u_i) = \delta_{a+b, \bar{c}+\bar{d}}^{(3)} \Theta_{\text{trap}} \left[ \begin{matrix} [b - \bar{c}]_3 \\ [\bar{d} - \bar{c} + 3/2]_3 \end{matrix} \right] (3\tau | 3(u_1 + u_2 + u_4), 3(u_1 - u_3))$$

$$\Theta_{\text{trap}} \left[ \begin{matrix} a \\ b \end{matrix} \right] (3\tau | 3u, 3v) = \sum'_{m,n} q^{\frac{1}{6}(a+3n)(a+3n+2(b+3m))} e^{2\pi i((a+3n)(u-1/6)+(b+3m)v)}$$

**N=4: parallelograms**

$$\mathcal{P}_{ab\bar{c}\bar{d}}(\tau, u_i) = \delta_{a+c, \bar{b}+\bar{d}}^{(3)} \Theta_{\text{para}} \left[ \begin{matrix} [c - \bar{b}]_3 \\ [\bar{d} - c]_3 \end{matrix} \right] (3\tau | 3(u_1 - u_3), 3(u_4 - u_2))$$

$$\Theta_{\text{para}} \left[ \begin{matrix} a \\ b \end{matrix} \right] (3\tau | 3u, 3v) \equiv \sum'_{m,n} q^{\frac{1}{3}(a+3n)(b+3m)} e^{2\pi i((b+3m)u+(a+3n)v)}$$

**N=5: pentagons**

$$\mathcal{P}_{a\bar{b}\bar{c}\bar{d}\bar{e}}(\tau, u_i) = \delta_{a, \bar{b}+\bar{c}+\bar{d}+\bar{e}}^{(3)} \Theta_{\text{penta}} \left[ \begin{matrix} [-b - c - d]_3 \\ [e + c + d]_3 \\ [c - d + \frac{3}{2}]_3 \end{matrix} \right] (3\tau | 3(u_5 - u_2), 3(u_1 - u_4), 3(u_3 + u_2 + u_4))$$

$$\Theta_{\text{penta}} \left[ \begin{matrix} a \\ b \\ c \end{matrix} \right] (3\tau | 3u, 3v, 3w) \equiv \sum'_{m,n,k} q^{\frac{1}{3}(a+3(n+k))(b+3(m+k)) - \frac{1}{6}(c+3k)^2} e^{2\pi i((a+3(n+k))u+(b+3(m+k))v+(c+3k)(w-1/6))}$$

**N=6: hexagons**

$$\mathcal{H}_{a\bar{b}\bar{c}\bar{d}\bar{e}\bar{f}}(\tau, u_i) = \delta_{0, \bar{a}+\bar{b}+\bar{c}+\bar{d}+\bar{e}+\bar{f}}^{(3)} \Theta_{\text{hexa}} \left[ \begin{matrix} [-b - c - d]_3 \\ [c + d + e]_3 \\ [c - d + \frac{3}{2}]_3 \\ [a - f + \frac{3}{2}]_3 \end{matrix} \right] (3\tau | 3(u_5 - u_2), 3(u_1 - u_4), 3(u_3 + u_2 + u_4), 3(-u_6 - u_1 - u_5))$$

$$\Theta_{\text{hexa}} \left[ \begin{matrix} a \\ b \\ c \\ d \end{matrix} \right] (3\tau | 3u, 3v, 3w, 3z) \equiv \sum'_{m,n,k,l} q^{\frac{1}{3}(a+3n)(b+3m) - \frac{1}{6}(c+3k)^2 - \frac{1}{6}(d+3l)^2} e^{2\pi i((a+3n)u+(b+3m)v+(c+3k)(w-1/6)+(d+3l)(z+1/6))}$$

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$$\sum'_{m,n,k,l} = \sum_{m,n \geq 0} \sum_{\substack{k \geq 0 \\ l \geq 0}}^{<k_{\max} <l_{\max}} - \sum_{m,n \leq -1} \sum_{\substack{k \leq -1 \\ l \leq -1}}^{>k_{\min} >l_{\min}}$$

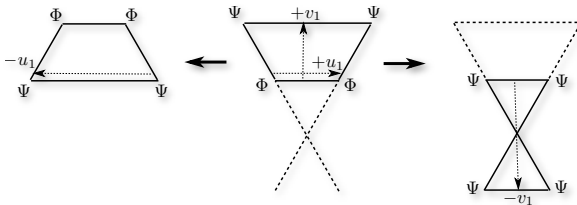
# Global properties of open string moduli space

- Indefinite theta-fcts: singularities due to colliding branes

eg., rewrite trapezoidal function in terms of Appel function:

$$\Theta_{trap} \left[ \begin{matrix} a \\ b \end{matrix} \right] (3\tau | 3u, 3v) = e^{2\pi i v b} \sum_{n \in \mathbb{Z}} \frac{q^{\frac{1}{6}(a+3n)(a+2b+3n)} e^{2\pi i (a+3n)(u-1/6)}}{1 - q^{a+3n} e^{6\pi i v}}$$

- analytic continuation



Area becomes negative:  
resum instantons in terms  
of different geometry

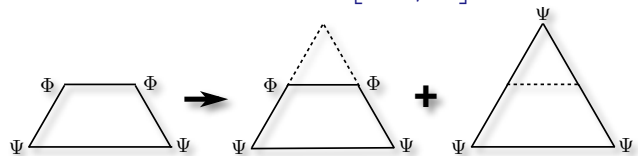
“instanton flop”

# Global properties of open string moduli space

- monodromy

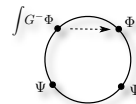
$$\Theta_{trap} \left[ \begin{matrix} a \\ b \end{matrix} \right] (3\tau | 3(u \pm \tau), 3v) = e^{\mp 6\pi i v} \Theta_{trap} \left[ \begin{matrix} a \\ b \end{matrix} \right] (3\tau | 3u, 3v) \\ \mp e^{-2\pi i (u - \frac{1}{6})(b - \frac{3}{2} \pm \frac{3}{2})} e^{2\pi i v (b - \frac{3}{2} \mp \frac{3}{2})} q^{-\frac{1}{6}(b - \frac{3}{2} \pm \frac{3}{2})^2} \Theta \left[ \begin{matrix} a+b \\ -3/2 \end{matrix} \right] (3\tau | 3u)$$

induces “homotopy transformation”,  
modular anomaly of eff action  
(compensate by non-lin field redef)



$$\mathcal{T}_{ab\bar{c}\bar{d}} \rightarrow \mathcal{T}_{ab\bar{c}\bar{d}} + f_{\bar{c}\bar{d}}^e \Delta_{abe}$$

contact term



# Open/closed top. string consistency conditions

- How can we be sure that these expressions are correct ?

Make use of Q-closedness and factorization constraints

$$Q \cdot \text{circle} = \text{circle with hole} + \text{circle with hole} + \text{circle with hole} = 0$$

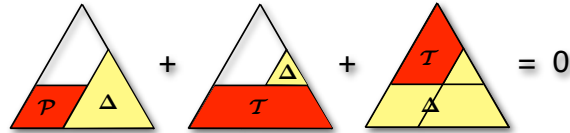
These lead to “A<sub>∞</sub> relations” for correlators

$$\sum_{\substack{k,j=0 \\ k \leq j}}^m (-1)^{\tilde{a}_1 + \dots + \tilde{a}_k} r_{m-j+k}(\psi_{a_1} \dots \psi_{a_k}, r_{j-k}(\psi_{a_{k+1}} \dots \psi_{a_j}), \psi_{a_{j+1}} \dots \psi_{a_m}) = 0$$

$$r_m(\Psi_{a_1} \dots \Psi_{a_m}) \equiv \Psi_{a_0} C_{a_1 \dots a_m}^{a_0}$$

...here: simple interpretation in terms of instanton geometry:

$$\sum \mathcal{P}_{a_1 \bar{c} a_4 \bar{a}_5} \Delta_{c a_2 a_3} + \sum \mathcal{T}_{a_1 a_2 \bar{c} \bar{a}_5} \Delta_{c a_3 a_4} + \sum \Delta_{a_1 a_2 c} \mathcal{T}_{\bar{c} a_3 a_4 \bar{a}_5} = 0$$



(compatible with homotopy transf)

## Quantum A<sub>∞</sub> relations for the annulus

[Herbst]

- There are analogous factorization relations in higher genus, eg:

$$\text{annulus } Q \rightarrow \pm \text{circle} \text{---} \text{annulus} \pm \text{circle} \text{---} \text{annulus} = \pm \text{annulus} \text{---} \text{circle} \pm \text{annulus} \text{---} \text{circle}$$

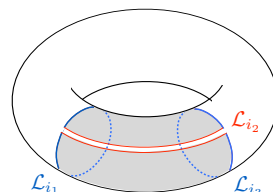
$$\sum_{c,d} ((-)^{\tilde{a}_1 + \tilde{d}\tilde{a}_2} C_{a_1 c a_2}^{0,1} \eta^{cd} C_{d| b_1}^{0,2} + (-)^{\tilde{a}_1 + \tilde{a}_2} C_{a_1 a_2 c}^{0,1} \eta^{cd} C_{d| b_1}^{0,2})$$

$$= \sum_{c,d} ((-)^{\tilde{a}_1 + \tilde{b}_1(\tilde{d} + \tilde{a}_2)} \eta^{cd} C_{a_1 c b_1 d a_2}^{0,1} + (-)^{\tilde{a}_1 + \tilde{a}_2 + \tilde{b}_1 \tilde{d}} \eta^{cd} C_{a_1 a_2 c b_1 d}^{0,1})$$

- In concrete case, it boils down to an identity between disk and annulus correlators:

$$\partial_{u_3} \mathcal{A}_{\Omega} \cdot \equiv \partial_{u_3} \sum_{\substack{3 \\ n \neq 0, m}}' q^{nm} e^{6\pi i n(u_1 - u_3)}$$

$$= \sum_{c=1} \partial_{u_3} \mathcal{P}_{a \bar{c} c \bar{a}}$$



This maps disk and annulus instantons into each other!