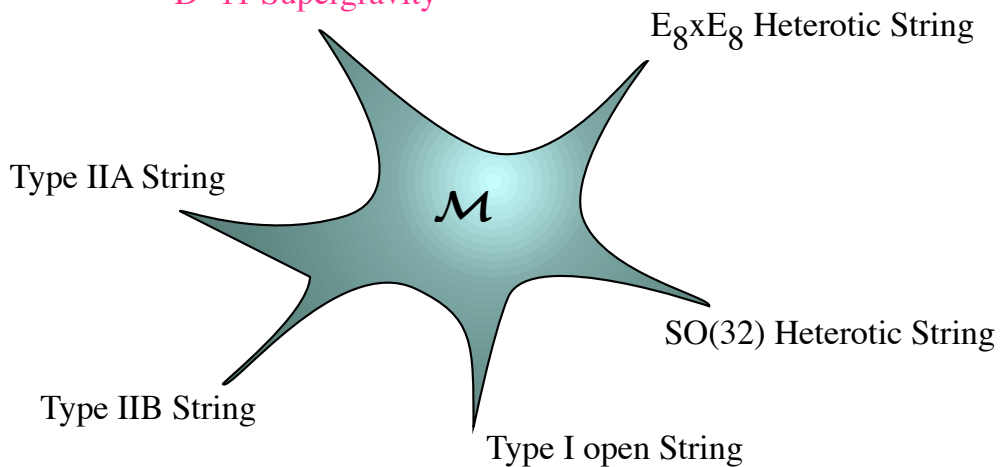


Stringy Geometry and Nonperturbative D-Brane Physics

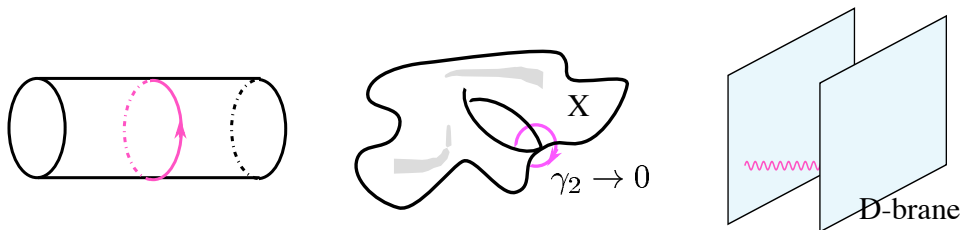
W.L. 2003

D=11 Supergravity



- In stringy geometry, geometrical notions are in general ambiguous ...

One and the same theory may have many different dual geometric interpretations. For example gauge theory:



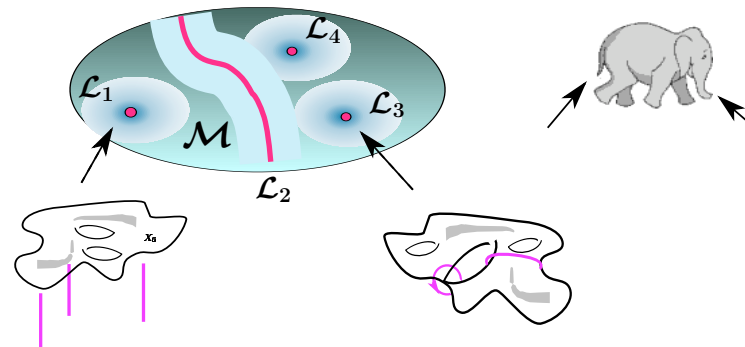
i) heterotic

ii) type II

iii) type I

Moduli Space = Space of Vacuum States, VEV's

- Lagrangian description makes sense only in "local coordinate patches" covering the parameter space \mathcal{M} :



These describe **different local approximations** of the same theory in terms of **different weakly coupled physical degrees** of freedom.

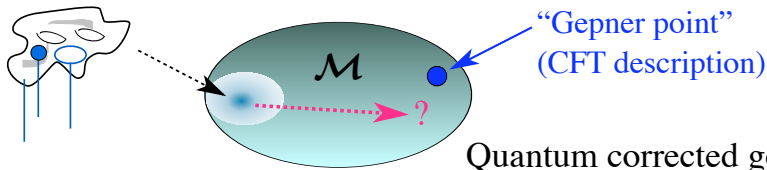
The perturbative physics (local QFT) may look very different in the various local patches (eg, different gauge groups)

- As a general rule, there is no global description that would be valid throughout the whole parameter space; **no particular theory is more fundamental than the other ones.**

Concept of "fundamental degrees of freedom" is ambiguous, at least...

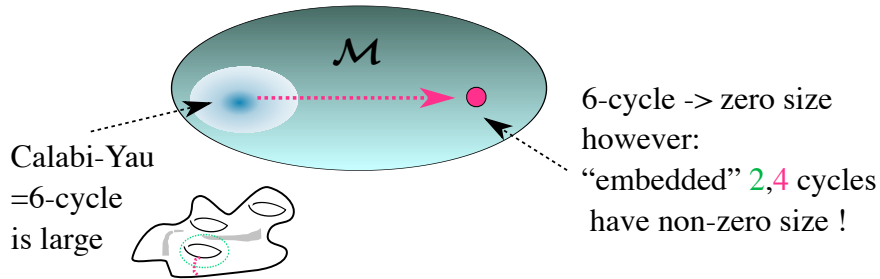
Quantum Moduli Space of D-Branes

- Classical geometry ("branes wrapping p-cycles") makes sense only at weak coupling or large radius:

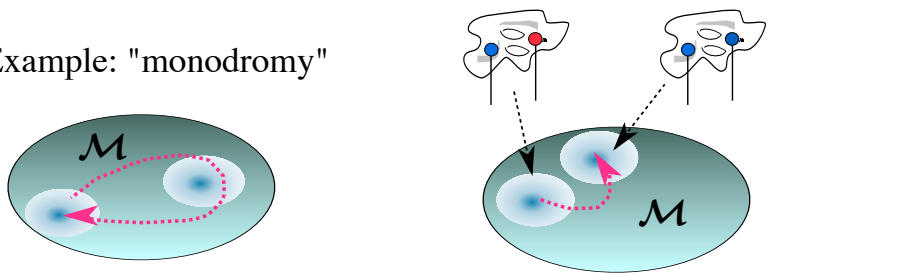


Quantum corrected geometry: (instanton) corrections wipe out concepts of classical geometry

- Example: "quantum volume"



- Example: "monodromy"



Looping around singularities returns "totally different" brane configuration

A brane-anti brane pair with apparent SUSY breaking, turns into a SUSY preserving brane-brane pair

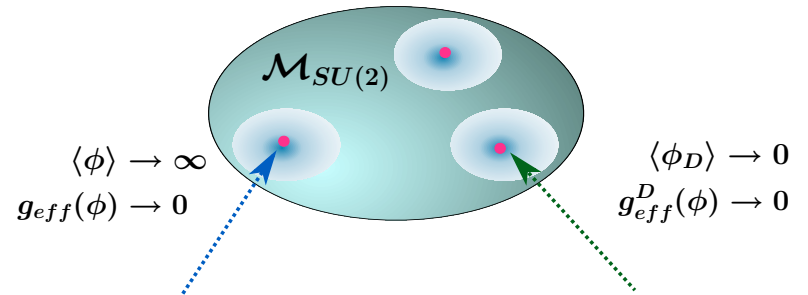
- To meaningfully address such questions, we need to have **global analytical control** of (at least part of) the spectrum and the effective action, like eg. the superpotential.

N=2 SUSY Gauge Theory

- Moduli (parameter) space $\mathcal{M} = \mathcal{M}(u)$, $u \sim \langle Tr \phi^2 \rangle$
 ϕ ... complex adjoint Higgs field

Seiberg-Witten: Effective gauge coupling gets renormalized, and depends on the Higgs VEV:

$$\tau_{eff}(\phi) = \frac{1}{2\pi} \theta_{eff}(\phi) + 2\pi i \frac{1}{g_{eff}^2(\phi)}$$



gauge fields weakly coupled; monopoles strongly coupled

$$\tau(\phi) = \frac{i}{\pi} \log \left[\frac{\phi^2}{\Lambda^2} \right] - \frac{i}{\pi} \sum_{\ell=1}^{\infty} c_{\ell} \left(\frac{\Lambda}{\phi} \right)^{4\ell}$$

1-loop instanton corr

SU(2) gauge theory with instanton corrections

gauge fields strongly coupled; massless monopoles weakly coupled, look like electrons:

$$\tau_D(\phi_D) = \frac{-1}{2\pi} \log \left[\frac{\phi_D^2}{\Lambda^2} \right] - \frac{1}{\pi} \sum_{\ell=1}^{\infty} c_{\ell}^D \left(\frac{\phi_D}{\Lambda} \right)^{2\ell}$$

1-loop non-pert corr

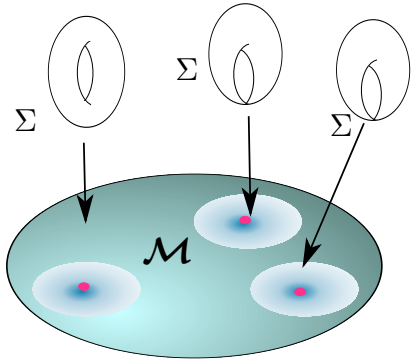
U(1) gauge theory with extra electrons

Resummation of non-perturbative corrections

Quantum Curves and Calabi-Yau Manifolds

5

- S&W: Interpretation of SYM parameter space as moduli space of an elliptic curve Σ :



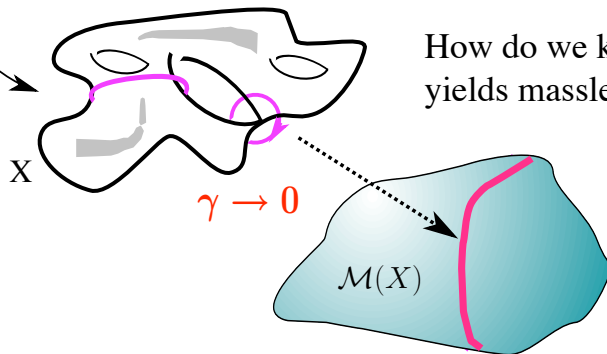
The loci where massless non-perturbated states appear correspond to singular curves.

What is their meaning ?

- This has a natural interpretation in string theory compactified on some Calabi-Yau manifold X (suitable field theory limit reproduces Σ).

There is a **proliferation of physical degrees of freedom**, obtained from wrapping strings (p=1), membranes (p=2), general p-branes around non-contractible p-cycles of X.

At a given singularity in the parameter space $\mathcal{M}(X)$, a compactification manifold X becomes singular in that some p-dimensional "**vanishing cycle γ** " shrinks to zero size:



How do we know that this yields massless states ?

Supersymmetry and Central Charges

6

- Supersymmetry allows us to do non-trivial exact computations in toy models, by virtue of its non-renormalization properties that protect many (typically holomorphic) quantities from perturbative corrections.
- .. in particular, quantities related to "BPS"-states:

$$Q_\alpha |BPS\rangle = 0$$

From the algebra of supersymmetry charges

$$\{Q_\alpha, Q_\beta\} = \gamma_{\alpha\beta}^\mu p_\mu + \delta_{\alpha\beta} Z \quad (\text{"central charge" } Z \text{ can be eg. } U(1) \text{ charge})$$

and $\langle BPS | \{Q, Q\} | BPS \rangle = 0$

follows for such BPS-states that their mass is exactly given by their charge:

$$m = |Z|$$

- Idea: Find that in semi-classical approximation some state is BPS - this implies it has less degrees of freedom than a generic state ("short SUSY multiplet")

But under smooth perturbative and non-perturbative corrections, the number of degrees of freedom cannot jump

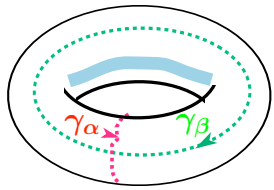
➡ The state is BPS also in the full quantum theory, and in particular its mass is exactly known !

The BPS property is the quintessential basis of our modern non-perturbative techniques.

BPS Geometry: Period Integrals

7

- Central charges have a topological character.
 - Eg., SU(2) N=2 SUSY gauge theory, the mass of a BPS state is governed by



$$\begin{aligned}
 Z(u) &= N \langle \phi \rangle(u) + M \langle \phi_D \rangle(u) \\
 &\quad \uparrow \qquad \qquad \uparrow \\
 &\quad \text{electric} \qquad \text{magnetic charge} \\
 &= \int_{\gamma} \lambda_{\Sigma}(u) \\
 \gamma &\equiv N \gamma_{\alpha} + M \gamma_{\beta}
 \end{aligned}$$

- Analogously, on a 6d Calabi-Yau space X, the central charge

$$Z(z) = \int_{\gamma=N_a \gamma_a} \omega_X(z) = N_a \int_{\gamma_a} \omega_X(z) \equiv N_a \Pi_a(z)$$

N_a ... el, mag RR charges of wrapped D-brane state

z ... modulus of CY, massless scalar VEV in 4d

$\Pi_a(z)$...period integrals, “quantum volumes”

- Significance: periods form the effective lagrangian, ie the holomorphic prepotential \mathcal{F} in N=2 superspace:

$$\begin{aligned}
 \mathcal{L}_{eff}(z) &= \int d^4\theta \mathcal{F}(z) \\
 \mathcal{F}(z) &= \Pi_a(z) G^{ab} \Pi_b(z)
 \end{aligned}$$

But what about quantum corrections ?

Type II Strings on Calabi-Yau Manifolds

8

... give rise to N=2 effective supergravity theory in 4d

- Apart from gravity, there are two decoupled matter sectors:

- Vector**-supermultiplet moduli space \mathcal{M}_V
- Hyper**-supermultiplet moduli space \mathcal{M}_H

- This is mirrored in the CY geometry: two sorts of moduli

- Kähler** moduli t : size parameters $\sim H_{\bar{\partial}}^{i,i}(X)$
- Complex structure** moduli z : shape parameters $\sim H_{\partial}^{3-i,i}(X)$

Which Kähler or CS sector corresponds to which vector- or hypermultiplet sector, depends on the specific kind of Type II string theory - more about this later

- There are also two sorts of **supersymmetric p-cycles**, that give rise to BPS particles in 4d when p-branes are wrapped on them:

- holomorphic** 0,2,4,6 cycles $\gamma_k^{(2i)} \in H_{2i}(X)$
- symplectic **special lagrangian** 3-cycles $\{\gamma_{\alpha}^{(3)}, \gamma_{\beta}^{(3)}\} \in H_3(X)$

The Kähler moduli determine the volumes of the holomorphic, and the CS moduli determine the volumes of the SL cycles

- Accordingly there are two sorts of quantum volumes

- Special lagrangian type:

$$Z(z) = N_a^{(3)} \int_{\gamma_a^{(3)}} \omega^{(3,0)}(z)$$

analogous to period integrals over 1-cycles on SW-curves

World-Sheet Instanton Corrections

- holomorphic type:

$$\begin{aligned}
 Z(t) &= N_a^{(2i)} \Pi_a(t) = \int_X Q \wedge e^{\omega^{(1,1)}} + \dots \\
 Q &= Tr[e^F] \wedge \sqrt{\widehat{A}(R)} \\
 t^k &= \int_X (\omega^{(1,1)})^k \\
 &= N^{(6)}t^3 + N^{(4)}t^2 + N^{(2)}t + N^{(0)} + \mathcal{O}(e^{-t}) \\
 &= (\text{RR U(1) charges of wrapped brane}) * (\text{volumes}) + \text{corr.}
 \end{aligned}$$

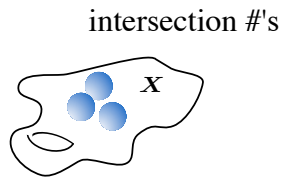
- In contrast to the SL 3-periods, the classical volumes of 0,2,4,6 cycles do get corrected by world-sheet instantons:

Wrappings of the 1+1d string world-sheet around 2-cycles of the Calabi-Yau X give extra sectors of the path integral with action

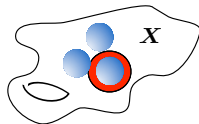
$$e^{-S} = e^{-\int_{\gamma(2)} \omega_X^{(1,1)}} = q \equiv e^{-t}$$

This leads to non-perturbative corrections of the correlation functions, and thus, the eff action:

$$\begin{aligned}
 \langle O_i O_j O_k \rangle &= \partial_i \partial_j \partial_k \mathcal{F}(t) \\
 &= c_{ijk}^{(0)} + \sum_{n_i} d_{n_i n_j n_k} n_i n_j n_k \frac{\prod_m q_m^{n_m}}{1 - \prod_m q_m^{n_m}}
 \end{aligned}$$



Integers, count holomorphic maps from 2-spheres into the CY



Mirror Symmetry

- For “every” Calabi-Yau X, there exists a mirror \widehat{X} such that the **Kähler and complex structure** sectors are **exchanged**.

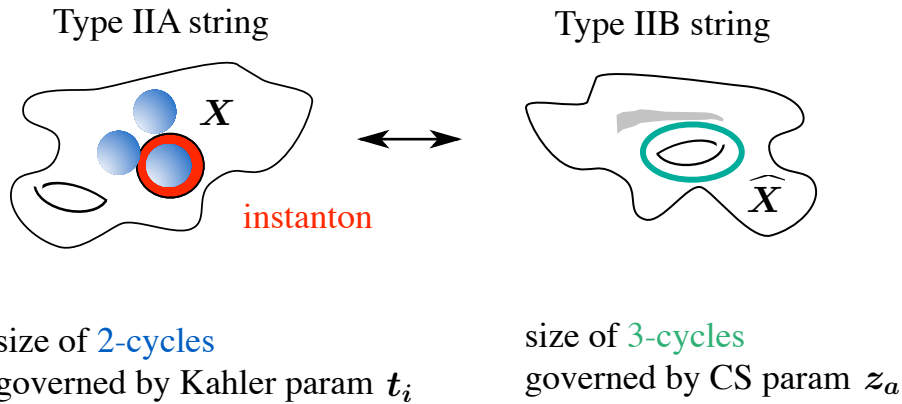
The physical meaning is:

Type IIA strings compactified on X are indistinguishable from Type IIB strings compactified on the mirror \widehat{X}

IIA / X	\longleftrightarrow	IIB / \widehat{X}
$\mathcal{M}_H^{(-\text{dilaton})} = \mathcal{M}_X^{CS}(z(t'))$	=	$\mathcal{M}_{\widehat{X}}^{KS}(t'(z))$
$\mathcal{M}_V = \mathcal{M}_X^{KS}(t(z'))$	=	$\mathcal{M}_{\widehat{X}}^{CS}(z'(t))$

(We will consider here only the vector supermultiplet moduli space)

- Mirror symmetry also maps the even, holomorphic cycles into the SL 3-cycles, and v.v.



$$\begin{aligned} \Pi_i(t) &= \int_{\gamma_i^{(2k)}} (\wedge \omega_X^{(1,1)})^k + \dots = \int_{\gamma_i^{(3)}} \omega_{\widehat{X}}^{(3,0)} = \Pi_a(z) \\ &\sim t^k + \mathcal{O}(e^{-t}) && \sim (\ln z)^k + \mathcal{O}(z) \\ &\text{corrected} && \text{exact} \end{aligned}$$

- “mirror map”: $t = -\ln z + \mathcal{O}(z)$
thus determines prepotential = effective action:

$$\begin{aligned} \mathcal{F}(z(t)) &= \Pi_a(z(t)) G^{ab} \Pi_b(z(t)) = \\ &= ct^3 + \sum_n d_n Li_3(e^{-nt}) \\ &\text{integers count maps } S^2 \rightarrow X \end{aligned}$$

... provides global analytic control over whole moduli space !

Monodromy of RR Charges

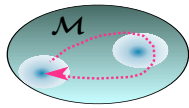
- From mirror symmetry, we have for the central charge $Z = N_a \Pi_a$ of a BPS state:

$$\begin{aligned} N_a^{(3)} \int_{\gamma_a^{(3)}} \omega_{\widehat{X}}^{(3,0)} &= N^{(6)} t^3 + N^{(4)} t^2 + N^{(2)} t + N^{(0)} + \mathcal{O}(e^{-t}) \\ &= N^{(6)} (2\mathcal{F} - t\partial_t \mathcal{F}) + N^{(4)} \partial_t \mathcal{F} + N^{(2)} t + N^{(0)} \end{aligned}$$

3-cycles on \widehat{X} on equal footing \Rightarrow quantum 0,2,4,6-cycles on X must be on equal footing too !

- Periods are in fact multi-valued sections

Non-trivial loops in the moduli space $\mathcal{M}_{CS}(\widehat{X})$ will thus induce monodromy:



$$\Pi_a \longrightarrow \Pi_a \cdot R, \quad R \in Sp(2h^{2,1} + 2, Z)$$

- Consider eg looping around $z \sim e^{2\pi i t} \rightarrow 0$ in the semi-classical, large volume regime:

$$t = \frac{1}{2\pi i} \ln z \rightarrow t + 1$$

$$\begin{aligned} \text{Thus } Z &= N^{(0)} + N^{(2)} t + \dots \\ &\rightarrow \underbrace{(N^{(0)} + N^{(2)} + N^{(4)} + N^{(6)})}_{(N^{(0)})'} + N^{(2)} t + \dots \end{aligned}$$

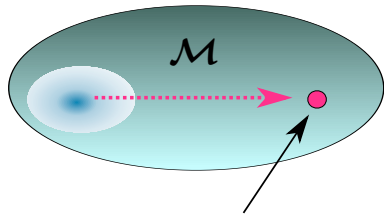
The notion of p-dimensional cycles loses its geometric meaning away from the semi-classical large radius limit !

Quantum Volume

- Recall that massless state in 4d arises if

$$Z = N_A \Pi_A \rightarrow 0$$

- Example:
conifold singularity (strong coupling region)



Type IIB: 3-cycle $\gamma_a^{(3)} \rightarrow 0$

Type IIA: $(2F - t\partial tF) \sim t^3 + \dots$
 $= \int_{\gamma^{(6)}} (\omega_X^{(1,1)})^3 + \dots \rightarrow 0$

\implies 6-cycle quantum volume = whole Calabi-Yau X shrinks to nothing!

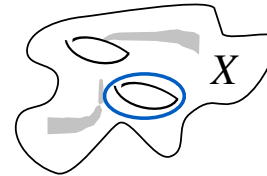
However, the “embedded” 0,2,4 cycles do not have vanishing quantum volumes:

$$(1, t, \partial t\mathcal{F}) \neq 0$$

The classical geometric picture is completely swamped out by instanton corrections

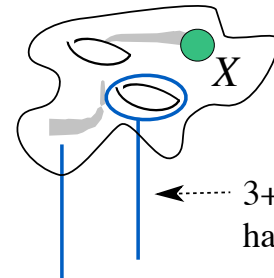
Brane/Flux Configurations with N=1 SUSY

- So far we considered Type II strings on CY threefolds X , which gives $N=2$ supersymmetry in $d=4$:



p -branes wrapping supersymmetric p -cycles appear as BPS particle excitations in the $N=2$ effective theory

We now consider “D-manifolds” with non-compact branes spanning 3+1 dimensions, plus extra fluxes:



3+1d world-volume:
has $N=1$ SUSY if the brane config is BPS

- This parametrizes a **huge class** of $N=1$ string geometries!

The effective space time physics will depend non-trivially on the properties of the space X , and the brane and flux configurations on top of it.

What is the non-perturbative quantum geometry, vacuum states, superpotential, gauge couplings ?

Turning on RR-fluxes

$$\int_{\gamma^{(p)}} H_{RR}^{(p)} = \int_{\gamma^{(p)}} dC_{RR}^{(p-1)} \neq 0$$

- Type IIB strings: $p=3$

It can be shown that upon turning on $H^{(3)}$ flux, $N=2$ SUSY is broken to $N=1$ SUSY with superpotential:

$$\mathcal{W}_{IIB/\widehat{X}} = \int_{\widehat{X}} \omega^{(3,0)} \wedge H_{RR}^{(3)}$$

Denote 3-cycle dual to flux $H^{(3)}$ by $\gamma_H^{(3)}$ and expand in integral symplectic basis of 3-cycles:

$$\gamma_H^{(3)} = N_a \gamma_a^{(3)}, \quad N_a \in \mathbb{Z} \quad (\text{flux numbers})$$

Then

$$\mathcal{W}_{IIB/\widehat{X}}(z) = \int_{\gamma_H^3} \omega^{(3,0)}(z) = N_a \Pi_a(z)$$

The superpotential is given a flux-dependent linear combination of period integrals....

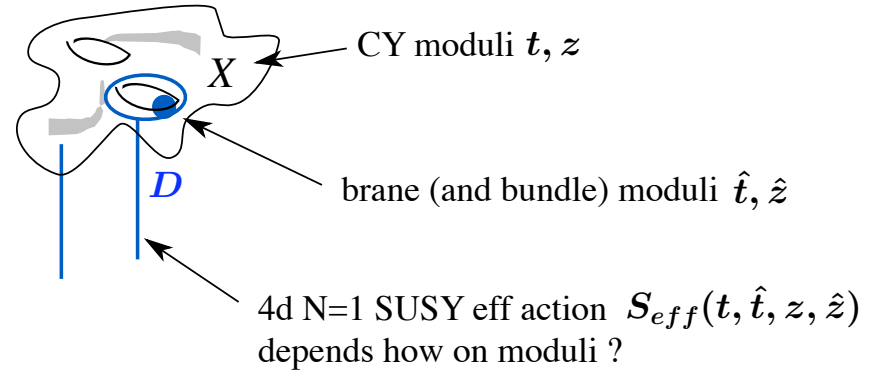
It is thus completely determined by the “bulk” closed string geometry:
spont. broken $N=2$ SUSY (flux \sim aux field)

- Type IIA strings with $p=0,2,4,6$: mirror to the above

$$\begin{aligned} \mathcal{W}_{IIA/X}(t) &= \int_X \sum_{k=1}^3 H_{RR}^{(2k)} (\wedge \omega^{(1,1)})^{3-k} + inst. \\ &= \mathcal{W}_{IIB/\widehat{X}}(z(t)) \end{aligned}$$

Moduli of D-brane configurations

- Consider 1/2 BPS configuration D reducing to $N=1$ SUSY:



- Focus on

- Kähler type moduli:

$t \sim \gamma^{(2)}$ sizes of 2-spheres

$\hat{t} \sim \hat{\gamma}^{(2)}$ sizes of **disks** ending on D-brane D

- complex structure type moduli:

$z \sim \gamma^{(3)}$ sizes of 3-cycles

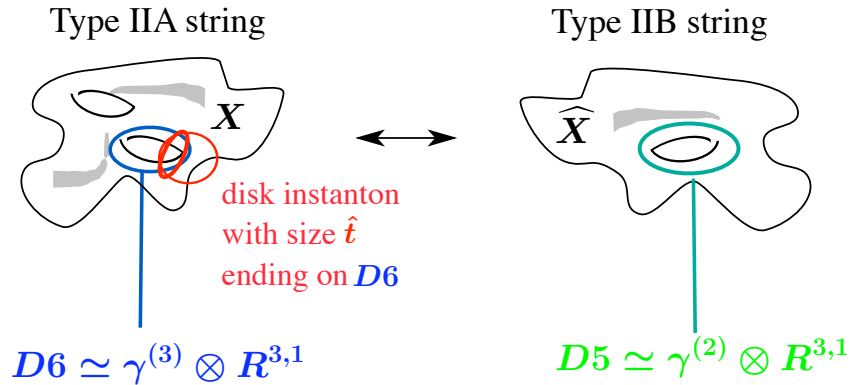
$\hat{z} \sim \hat{\gamma}^{(3)}$ sizes of 3-**chains** w. boundary on D

- Decoupling theorems (from boundary CFT):

holomorphic branes	{	$W(z, \hat{z}), \tau(z, \hat{z})$ $D(t, t^*, \hat{t}, \hat{t}^*)$	}	holom. potentials FI D-term potential
SL 3-branes	{	$W(t, \hat{t}), \tau(t, \hat{t})$ $D(z, z^*, \hat{z}, \hat{z}^*)$	}	holom. potentials FI D-term potential

Mirror symmetry and D-Branes

New ingredient: open string (disk) instantons

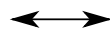


$$\mathcal{W}_{A/IIA}(t, \hat{t}) = \hat{M}_\ell \hat{\Pi}_\ell = \mathcal{W}_{B/IIB}(z(t), \hat{z}(t, \hat{t}))$$

$$\sum_{n,m} d_{n,m} Li_2(e^{-nt} e^{-m\hat{t}}) = \hat{M}_\ell \cdot \int_{\hat{\gamma}_\ell^{(3)}(\hat{z})} \omega_{\hat{X}}^{(3,0)}(z)$$

3-chain with boundary
 $\partial \hat{\gamma}^{(3)} = \gamma^{(2)}$

Complicated corrections due to open and closed string instantons



exact result !

Unifying flux and D-brane potentials

- Seek: uniform description of open/closed string backgrounds labeled by the data

$$\left\{ X, N_a; \hat{M}_\ell \right\} (t, \hat{t}) \cong \left\{ \hat{X}, N'_a; \hat{M}'_\ell \right\} (z, \hat{z})$$

closed ; open
string sectors

Recall: $\mathcal{W}_{flux} = N_a \Pi_a$

$$\mathcal{W}_{D-brane} = \hat{M}_\ell \hat{\Pi}_\ell$$

Combine:

$$\begin{aligned} \mathcal{W}_{tot}(z(t), \hat{z}(t, \hat{t})) &= M_\Lambda \Pi_\Lambda = \int_{\Gamma_\Lambda^{(3)}} \omega_{\hat{X}}^{(3,0)} \\ &= N^{(6)} + N_a^{(2)} t_a + N_a^{(4)} \partial_a \mathcal{F} + \hat{M}_k \hat{t}_k + \hat{M}_\ell \mathcal{W}_\ell(t, \hat{t}) + \dots \end{aligned}$$

where $\Gamma_\Lambda^{(3)} = \{ \gamma_a^{(3)}, \hat{\gamma}_\ell^{(3)} \} \in H_3(\hat{X}, \mathcal{D}; \mathbb{Z})$

The “relative” homology cycles are closed only up to boundaries lying on the D-brane \mathcal{D} .

- The relative homology lattice $H_3(\hat{X}, \mathcal{D}; \mathbb{Z})$ is the complete charge lattice of the BPS domain walls in the N=1 theory

The “Special Geometry” of N=1 Vacua

- Basic object: “relative” period vector

$$\Pi_\Lambda = \int_{\Gamma_\Lambda} \omega^{(3,0)} = \{1, t_a, \hat{t}_k, \partial_a \mathcal{F}(t), \mathcal{W}_\ell(t, \hat{t}), \dots\}$$

contains the **holomorphic potentials** of “N=1 Special Geometry”

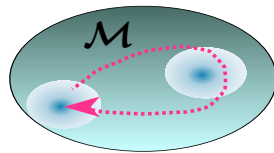
for flux (N=2 closed str) subsector: $\mathcal{W}_a(t) = \partial_a \mathcal{F}(t)$

for boundary (open str) subsector: $\mathcal{W}_\ell(t, \hat{t})$ **do not integrate!**

...reflects that N=1 SUSY theories are less constrained than their N=2 counterparts

The Π_Λ can be analytically continued to the strongly coupled, non-perturbative regime, to eg. find exact vacuum states (extrema of the potential).

..analogous to monopole singularities for N=2 SUSY. Here: tensionsless domain walls.

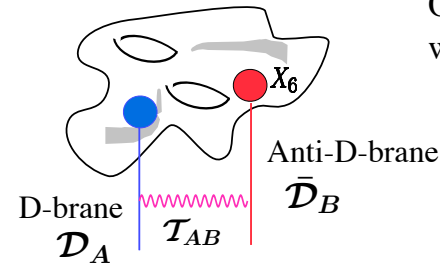


- Monodromy: mixes flux and brane numbers (note: brane- \rightarrow brane+flux, not v.v)
- “Non-renormalization” property: boundary (open string) quantities can get modified/corrected by bulk (N=2, closed) string quantities, but not vice versa:

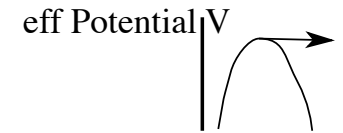
$$z = z(t), \quad \hat{z} = \hat{z}(t, \hat{t})$$

Stability and SUSY Breaking

- In the open string sector, SUSY is typically broken by



Open string is a tachyon \mathcal{T}_{AB} which implies an unstable vacuum



... equivalent to bound state problem for wrapped branes

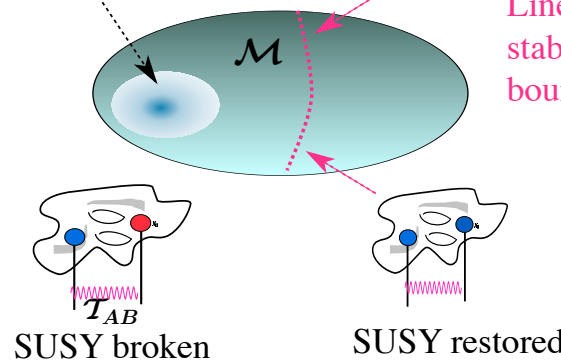
- Central charges play a crucial role: $m_{AB}^2 \sim \text{Im} \ln[Z_A/Z_B]$

$$m_C < m_A + m_B$$

$$m_C = m_A + m_B$$



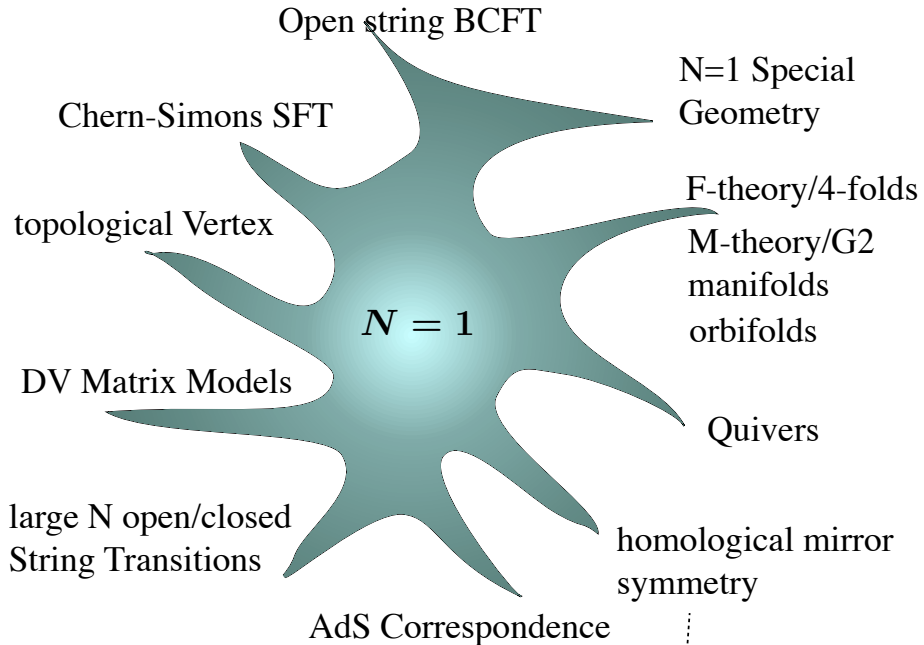
Line of marginal stability: bound state decays



Again: need to have global analytic control!

Outlook

We have covered only one “patch” of approaches to/aspects of $N=1$ supersymmetric string vacua



supposed complete, underlying math framework to describe all D-branes, in terms of certain derived categories (Kontsevich)

... equal footing of fundamental branes and their bound states, of branes and anti-branes

$$\mathcal{D}_A \xrightarrow{\mathcal{T}} \mathcal{D}_C \rightarrow \mathcal{D}_B \rightarrow \mathcal{D}_A$$

\uparrow maps = tachyons \uparrow objects=(anti) D-branes