Mirror Symmetry and N=1 Supersymmetry

Part 1

W.Lerche, Trieste Spring School 2003

Exactly computable quantities are typically "BPS": holomorphic objects protected by SUSY

N=2: prepotential \mathcal{F} (+ infin sequence \mathcal{F}_g)

•Recent progress:

N=1: superpotential \mathcal{W} , gauge coupling τ (+ infin sequence $\mathcal{F}_{q,h}$)

Non-pert. exact results for string and YM theories! (matrix theory, Chern-Simons, mirror symmetry....)



Reminds of the well-known computation of F for N=2 SUSY: "special geometry", "TFT", "geometric engineering"

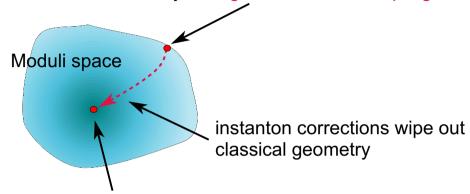
• We will show how to put the computation of N=1 superpotentials on an analogous footing:

N=1 Special Geometry

(main new ingredient: D-branes)

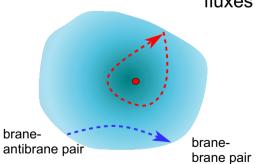
Motivation: Quantum Geometry of D-branes

 Notions of classical geometry (eg., "branes wrapping p-cycles, with gauge bundles on top") make sense only at large radius/weak coupling



"Gepner point": rational CFT description

 Monodromy: brane configuration maps into "different" one involving "other" branes and fluxes



Stability: brane configuration may become unstable 3

To address such problems, we need to have full analytical control of F,W, over the full parameter spacewhich is more than just a series expansion at weak coupling!

Note however:

N=2 SUSY: moduli space

N=1 SUSY: W = obstruction to moduli space

STRING THEORY ON CALABI-YAU MANIFOLDS,

By Brian R. Greene, http://arxiv.org/abs/hep-th/9702155

ON THE GEOMETRY BEHIND N=2 SUPERSYMMETRIC EFFECTIVE ACTIONS IN FOUR-DIMENSIONS.

By A. Klemm, http://arxiv.org/abs/hep-th/9705131

Overview

- Part 1Recap: N=2 special geometry and mirror symmetry
 - Type II strings on Calabi-Yau manifolds
 - Mirror map
 - Topological field theory
 - Hodge variation and DEQ for period integrals
- Part 2Fluxes and D-branes on Calabi-Yau manifolds
 - Superpotentials from fluxes
 - Mirror symmetry and D-branes
 - Quantum D-geometry
- Part 3N=1 SUSY and open string mirror symmetry
 - Superpotentials from D-branes
 - Relative cohomology and mixed Hodge variations
 - Differential equations for exact superpotentials

Recap: Type II Strings on Calabi-Yau 3-folds

For preserving N=2 SUSY in d=4, the compact
 6dim manifold X should be Kahler and moreover, a

Calabi-Yau manifold
$$\left\{egin{array}{l} c_1(R)=0 \ & ext{Holonomy group SU(3)} \ & ext{global holom 3-form } \Omega^{(3,0)} \end{array}
ight.$$

metric $g_{iar{j}}=\partial_iar{\partial}_j K$ Kahler potential

Kahler (1,1) form
$$J^{(1,1)}=ig_{iar{j}}dz^idar{z}^{ar{j}}$$

- The string compactification is described by a 2dim N=(2,2) superconformal sigma model on X with c=9, plus a free space-time sector
- The induced N=2 SUSY effective action in d=4 contains massless fields, including hyper- and vector supermultiplets

"decoupling":

Its bosonic sector gives a sigma model with target space

$$\mathcal{M} = \mathcal{M}_V \times \mathcal{M}_H$$
 (special Kahler) (quaternionic)

 These massless scalar fields correspond to deformation parameters (moduli) of the CY, X.

These are associated with (p,q) differential forms

$$\omega^{(p,q)} \; \equiv \; \omega_{i_1,...,i_p,ar{j}_1,...,ar{j}_q} dz^{i_1} \wedge \ldots dz^{i_p} \wedge dar{z}^{ar{j}_1} \wedge \ldots dar{z}^{ar{j}_q}$$

which are closed but not exact, ie., are non-trivial elements of the cohomology groups

$$H^{p,q}_{ar{\partial}}(X,C) \ \equiv \ rac{\{\omega^{(p,q)}|ar{\partial}\omega^{(p,q)}=0\}}{\{\eta^{(p,q)}|\eta^{(p,q)}=ar{\partial}
ho^{(p,q-1)}\}}$$

These give zero modes of Laplacian: $\Delta_{\bar{\partial}}=\bar{\partial}\bar{\partial}^{\dagger}+\bar{\partial}^{\dagger}\bar{\partial}$ (massless fields in 4d)

There are two sorts of moduli:

Kahler moduli (size parameters)

$$t_i \, \sim \, \omega_i^{(1,1)}, \qquad i=1,...,h^{1,1} \equiv dim H^{1,1}$$

Complex structure moduli (shape parameters)

$$z_a \; \sim \; \omega_a^{(2,1)}, \qquad a=1,...,h^{2,1} \equiv dim H^{2,1}$$

(h^{p,q} = "Hodge numbers")

How do the moduli map to the fields in the effective Lagrangian?

Mirror Symmetry of CY threefolds

• For "every" Calabi-Yau X, there exists a mirror \widehat{X} such that the Kahler and complex structure sectors are exchanged:

$$H^{1,1}(X)\cong H^{2,1}(\widehat{X}) \ H^{2,1}(X)\cong H^{1,1}(\widehat{X})$$

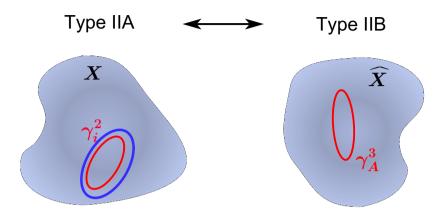
i.e., $h^{p,q}(X)=h^{3-p,q}(\widehat{X})$

The physical meaning is:

Type IIA strings compactified on X are indistinguishable from Type II strings compactified on the mirror of \widehat{X}

(We will consider here only the vector supermultiplet moduli space)

... basic idea:



size of 2-cycles γ_i^2 governed by Kahler param t_i

size of 3-cycles γ_A^3 governed by CS param z_a

Important quantities: quantum volumes ("periods") Π_A

$$\int_{\gamma^{2k}} (\wedge J^{(1,1)})^k + ... = \Pi_A = \int_{\gamma^3} \Omega^{(3,0)}$$
 $\sim t^k + \mathcal{O}(e^{-t})$ $\sim \ln(z)^k + \mathcal{O}(z)$ world sheet instantons wrapping γ_i^2 no instantons can wrap!

"A-model": corrected

"B-model": exact! Significance:

The periods are the building blocks of the prepotential.

Pick an integral basis of homology 3-cycles with

intersection metric
$$\Sigma = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$
 $Sp(2h^{2,1}+2,Z)$ structure

Thus one can split: $\{\gamma_A\} \rightarrow \{\gamma_a, \gamma_b\}$ and write:

$$\Pi_A(z) \ = \ \left(X_a,\, \mathcal{F}^b
ight) \ \equiv \ \Big(\int_{\gamma_a^3} \Omega^{(3,0)},\, \int_{\gamma_b^3} \Omega^{(3,0)}\Big)(z)$$

In terms of these "symplectic sections", one has for the prepotential:

$$\int {\cal F}(z) \; = \; rac{1}{2} \, X_a {\cal F}^a(z) \; .$$

What remains to do is to insert the mirror map:

$$t_i(z) = -\ln(z_a) + \ldots o z_a = q_i(1+\mathcal{O}(q))$$
 which gives: $(q \equiv e^{-t})$

$$\mathcal{F}(t) = rac{1}{3!}c_{ijk}^0t^it^jt^k + \sum_{n_1...n_r}N_{n_1...n_r}Li_3(q_1^{n_1}...q_r^{n_r})$$
 classical instanton corrections $Li_s(q) \equiv \sum_k rac{q^k}{k^s}$

Special Geometry of the N=2 Vector-Moduli space

The prepotential F can be understood from three inter-related viewpoints:

A) as 4d N=2 space-time effective Lagrangian of vector supermultiplets

gauge couplings $au_{ij}(t)=\partial_i\partial_j\mathcal{F}(t)$ "Yukawa" couplings $c_{ijk}(t)=\partial_i\partial_j\partial_k\mathcal{F}(t)$ Kahler potential $K(t,ar{t})=-\ln[ar{X}_a\mathcal{F}^a-X_aar{\mathcal{F}}^a]$

B) 2d world-sheet topological field theory

F = generating function of TFT correlators

$$c_{ijk}(t) \equiv \langle O_i O_j O_k \rangle = \partial_i \partial_j \partial_k \mathcal{F}(t)$$

OPE:
$$\left(O_i \cdot O_j \; = \; \sum_k c_{ij}{}^k(t) O_k \;\;\;\;$$
 "chiral ring" ${\cal R}$

chiral, primary chiral fields: $G_{-1/2}^+ O_i |0
angle_{NS} = G_{+1/2}^\pm O_i |0
angle_{NS} = 0$

From N=2 algebra follows:

$$\{G_{-1/2}^+,G_{1/2}^-\}O_i|0
angle_{NS}\ =\ (2L_0-J_0)O_i|0
angle_{NS}\ =\ 0$$

Thus: $h(O_i) = 1/2|q(O_i)|$ (no pole in OPE)

However: need consider pairing of left-, right-moving sectors (c,c) and (a,c) rings

Topological Sigma-Model on Calabi-Yau Manifold

$$egin{aligned} S &= rac{1}{4\pilpha'}\int_{\Sigma}d^2z\,igl[1/2g_{mn}\partial X^mar\partial X^n + \ &+i\,g_{ar{i}j}\lambda^{ar{i}}D_z\lambda^j + i\,g_{ar{i}j}\psi^{ar{i}}D_{ar{z}}\psi^j + R_{iar{i}jar{j}}\psi^i\psi^{ar{i}}\lambda^j\lambda^{ar{j}} \end{aligned}$$

N=(2,2) supercharges:

$$egin{array}{lll} Q_+ &=& \oint g_{ar{i} ar{j}} \psi^{ar{i}} \partial X^{ar{j}} & Q_- &=& \oint g_{iar{j}} \psi^i \partial X^{ar{j}} \ ar{Q}_+ &=& \oint g_{ar{i} ar{j}} \lambda^{ar{i}} ar{\partial} X^{ar{j}} & ar{Q}_- &=& \oint g_{iar{j}} \lambda^i ar{\partial} X^{ar{j}} \end{array}$$

Topological twist:

Redefine spins such that two of these supercharges become scalars to serve as BRST operator with

$$Q_{BRST}^2 = 0$$

This condition projects to a finite number of physical states in the TFT

 Idea: the physical spectrum corresponds to the nontrivial cohomology elements on X, via

$$Q_{BRST} \leftrightarrow d = \partial + \bar{\partial}$$

Ambiguity in choosing which supercharges correspond to ∂ , $\bar{\partial}$!

There are 2 inequivalent possibilities:

"A-model": $Q_{BRST} = Q_+ + ar{Q}_-$ "B-model": $Q_{BRST} = Q_+ + ar{Q}_+$

• A-Model: $Q_{BRST} = Q_+ + \bar{Q}_-$

Observables: $O_A^{(p,q)}=\omega_{i_1...i_par{j}_1...ar{j}_q}^{(p,q)}\lambda^{i_1}...\lambda^{i_p}\psi^{ar{j}_1}...\psi^{ar{j}_q}$

correspond to differential forms on X via:

$$\lambda^i \leftrightarrow dz^i, \;\; \psi^{ar{j}} \leftrightarrow dar{z}^{ar{j}}$$

BRST non-trivial operators $O_A^{(p,q)}$ correspond to cohomology classes $H_{\bar\partial}^{0,q}(\wedge^pT^*)\cong H_{\bar\partial}^{p,q}(X)$

The Kahler moduli correspond to

$$O_A^{(1,1)} \ = \ \omega_{iar{j}}^{(1,1)} \lambda^i \psi^{ar{j}} \ \in \ H^{1,1}$$

and generate the (c,c) chiral ring via the OPE:

$$\mathcal{R}^{(c,c)}: \;\; O_{A,i}^{(1,1)} \cdot O_{A,j}^{(1,1)} \; = \; \sum_k c_{ij}{}^k \, O_{A,k}^{(2,2)}$$

The 3-point correlators look:

$$egin{aligned} c_{ijk}(t) &= \langle O_{A,i}^{(1,1)} O_{A,j}^{(1,1)} O_{A,k}^{(-2,-2)}
angle \ &= \int_X \omega_i^{(1,1)} \wedge \omega_j^{(1,1)} \wedge \omega_k^{(1,1)} & ext{classical} \ & ext{"intersection"} \ &+ \sum_{\{u\}} e^{-\int u^* J} \int u^* \omega_i^{(1,1)} \int u^* \omega_j^{(1,1)} \int u^* \omega_k^{(1,1)} \end{aligned}$$

Instanton corrections

 $\{\mathsf{u}\}$ = holomorphic rational maps $P^1 o X$

ullet B-Model: $Q_{BRST} = Q_+ + ar{Q}_+$

Observables: $O_B^{(p,q)} = \omega^{(p,q)} {}^{i_1...i_p}_{\bar{j}_1...\bar{j}_q} \lambda_{i_1}...\lambda_{i_p} \psi^{\bar{j}_1}...\psi^{\bar{j}_q}$

correspond to differential forms on X via:

$$\lambda_i \equiv g_{iar{j}} \lambda^{ar{j}} \leftrightarrow d/dz^i, \;\; \psi^{ar{j}} \leftrightarrow dar{z}^{ar{j}}$$

BRST non-trivial operators $O_B^{(p,q)}$ correspond to cohomology classes $H^{0,q}_{\bar\partial}(\wedge^pT)\cong H^{-p,q}_{\bar\partial}(X)$

Note: a negative degree can be converted to a positive via contraction with the holom 3-form: $\Omega^{(3,0)}: \omega^{(-p,q)} \to \omega^{(3-p,q)}$

The complex structure moduli correspond to

$$O_B^{(-1,1)} \ = \ \omega^{(-1,1)} {i \over j} \lambda_i \psi^{ar{j}} \ \in \ H^{-1,1} \cong H^{2,1}$$

and generate the (a,c) chiral ring via the OPE:

$$\mathcal{R}^{(a,c)}: \;\; O_{B,a}^{(-1,1)} \cdot O_{B,b}^{(-1,1)} \; = \; \sum_{c} c_{ab}{}^c \, O_{B,c}^{(-2,2)}$$

The 3-point correlators look:

$$egin{aligned} c_{abc}(z) &= \langle O_{B,a}^{(-1,1)} O_{B,b}^{(-1,1)} O_{B,c}^{(2,-2)}
angle \ &= \int_X (\Omega^{(3,0)} \omega_a^{(-1,1)} \wedge \omega_b^{(-1,1)} \wedge \omega_c^{(-1,1)}) \wedge \Omega^{(3,0)} \end{aligned}$$

This is an exact, classical result! (constant maps only)

Recap: Classical and quantum cohomology rings

B-Model: (complex structure moduli)

(a,c) chiral ring
$$\, O_{B,a}^{(-1,1)} \cdot O_{B,b}^{(-1,1)} \, = \, \sum_c c_{ab}{}^c \, O_{B,c}^{(-2,2)} \,$$

is isomorphic to the classical cohomology ring

$$H^{2,1}(X) \cup H^{2,1}(X) \rightarrow H^{1,2}(X)$$

A-Model: (Kahler moduli)

(c,c) chiral ring
$$O_{A,i}^{(1,1)} \cdot O_{A,j}^{(1,1)} = \sum_k c_{ij}{}^k \, O_{A,k}^{(2,2)}$$

is isomorphic to a **quantum deformation** of the cohomology ring

$$H^{1,1}(X) \cup H^{1,1}(X) \rightarrow H^{2,2}(X)$$

because of the instanton corrections

 $\partial_i \partial_i \partial_k \mathcal{F}(t)$

Mirror symmetry:

A model on X is equivalent to the B-model on \widehat{X}

$$\mathcal{R}^{(c,c)}(X)\cong \mathcal{R}^{(a,c)}(\widehat{X})\cong H^3_{ar{\partial}}(\widehat{X})$$
 quantum corrected $c^{(A)}_{ijk}(t)=\sumrac{\partial z_a}{\partial t_i}rac{\partial z_b}{\partial t_j}rac{\partial z_c}{\partial t_k}\,c^{(B)}_{abc}(z(t))$

C) Viewpoint of variation of Hodge structures

Consider in B-model the variation of the holomorphic 3-form under deformations of the complex structure:

$$\Omega^{(3,0)}(z) \in H^{(3,0)}$$
 (notion of complex $\delta_z\Omega^{(3,0)}(z) \in H^{(3,0)} \oplus H^{(2,1)}$ structure changes) $(\delta_z)^2\Omega^{(3,0)}(z) \in H^{(3,0)} \oplus H^{(2,1)} \oplus H^{(1,2)}$ $(\delta_z)^3\Omega^{(3,0)}(z) \in H^{(3,0)} \oplus H^{(2,1)} \oplus H^{(1,2)} \oplus H^{(0,3)}$

Sequence terminates when H³ is exhausted, so higher derivatives are not independent

Fixing a basis of H³, we can thus write a matrix DEQ:

 $egin{aligned} au_aarpi &\equiv \left[\,\partial_{z_a} - A_a(z)\,
ight] \cdot arpi &= \,0 \ \end{aligned} egin{aligned} arpi &\equiv egin{pmatrix} \Omega^{(3,0)} \ \omega^{(2,1)} \ \omega^{(2,1)} \ \omega^{(1,2)} \ \Omega^{(0,3)} \end{pmatrix} \end{aligned}$

Recursive elimination of the higher components gives a set of higher order "**Picard-Fuchs**" operators" acting on integrals of the holom 3-form:

$$\mathcal{L}_a \cdot \int_{\gamma_A^3} \Omega^{(3,0)} \ \equiv \ \mathcal{L}_a \Pi_A \ = \ 0$$

The solutions are thus nothing but the periods we were looking for !

Flatness of moduli space:

The matrix first oder operator can be decomposed:

$$abla_a \equiv \partial_{z_a} - A_a(z) = \partial_{z_a} - \Gamma_a - C_a$$

$$\Gamma_a = egin{pmatrix} st \ st st \ st st \ st st st \ st st \end{pmatrix} \quad C_a = egin{pmatrix} & 1 \ & (c_a)_{bc} \ & 1 \end{pmatrix}$$

"Gauss-Manin"-connection chiral ring structure constants

One can show that $\left[\nabla_a, \nabla_b \right] = 0$

which means that there are "flat" coordinates, for which the connection vanishes, $\Gamma_a=0$

These flat coordinates are precisely the Kahler parameter of the associated A-model, $t_i(z_a)$!

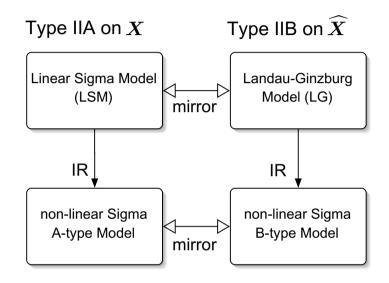
For these coordinates one has:

$$egin{aligned} \Pi_A(z(t)) &= \left(X_0, X_i, \mathcal{F}^i, \mathcal{F}^0
ight)(z(t)) \ &= \left(1,\, t_i,\, \partial_i \mathcal{F},\, 2\mathcal{F} - t^j \partial_j \mathcal{F}
ight) \ &\sim \left(1,\, t,\, t^2 + \mathcal{O}(e^{-t}),\, t^3 + \mathcal{O}(e^{-t})
ight) \end{aligned}$$

so indeed: $\mathcal{F}(t) = rac{1}{2} X_a \mathcal{F}^a(z(t))$

Periods and DEQs for toric Calabi-Yau manifolds

Idea: describe 2d superconformal **non-linear** sigmamodels as IR limits of a **linear** sigma model (A) or Landau-Ginzburg model (B)



A-Model on X:

LSM = 2d U(1) gauge theory with fields ϕ_n , charges q_n^i

D-term potential: $V=D^2,$ $D=\sum_n q_n^i |\phi_n|^2 - t_i = 0$

Fayet-Iliopoulos parameters = Kahler moduli of X $(i = 1, ..., h^{1,1}(X))$

The charge vectors q are the most basic data of "toric" Calabi-Yau's X: LSM formulation is canonical

Mirror geometry is described by IR limit of a 2d Landau-Ginzbug (LG) model, which is defined entirely in terms of the charge vectors q_n^i of the A-model!

LG superpotential:
$$W_{LG} = \sum_n a_n y_n$$
 with constraint: $\prod_n y_n^{q_n^a} = 1$

The {a_n} parametrize the complex structure deformations of $\widehat{m{X}}$ via $\prod a_n^{q_n^a} = z_a$ $(a = 1, ..., h^{2,1}(\widehat{X}) \equiv h^{1,1}(X))$

 $z_a \sim e^{-t_a} + ...$ (mirror map)

 $lackbox{lack}$ Note: $y_n \ \in \ egin{cases} C & ext{if } \widehat{X} ext{ compact} \ C^* & ext{if } \widehat{X} ext{non-compact} \ (y_n = e^{-arphi_n}) \end{cases}$

We will consider only non-compact CY in the following

lacktriangle holomorphic 3-form $\Omega^{(3,0)}(a(z)) = \prod rac{dy_n}{u} e^{-W_{LG}(y,a)}$ satisfies Picard-Fuchs equation:

$$\mathcal{L}_a\,\Omega^{(3,0)} \equiv \left[\prod_{n|q_n^a>0}\!\left(rac{\partial}{\partial a_n}
ight)^{q_n^a}\!-\!\prod_{n|q_n^a<0}\!\left(rac{\partial}{\partial a_n}
ight)^{q_n^a}
ight]\,\Omega^{(3,0)} = 0$$

All what remains to do is to change variables $a \rightarrow z(a)$

PF equations immediate once the defining toric data (charge vectors g) of the Calabi-Yau are given!

Example: normal bundle on P²

- linear sigma model on P^2 : $q_n^1 = (1, 1, 1)$ linear sigma model on O(-3)P 2 : $q_n^1=(-3,1,1,1)$ add extra non-compact coo to get CY $\,c_1 \sim \sum q_n = 0\,$
- B-model LG potential:

$$W_{LG} \ = \ a_0y_0+a_1y_1+a_2y_2+a_3rac{{y_0}^3}{y_1y_2}$$
 have used constraint $\ rac{y_1y_2y_3}{y_0^3}=1$

• PF operator: $\mathcal{L}_1 = \frac{\partial}{\partial a_1} \frac{\partial}{\partial a_2} \frac{\partial}{\partial a_2} - \left(\frac{\partial}{\partial a_2}\right)^3$

rewriting in terms of $z = \frac{a_1 a_2 a_3}{a_2^3}$ gives:

$$\mathcal{L}_1(z) = \theta^3 + 3z\theta(1+3\theta)(2+3\theta)$$

...is of generalized hypergeometric type ($\theta \equiv z\partial/\partial z$)

Solutions for the periods:

$$egin{split} t(z) &\sim \ln(z) + 3 \sum (-)^n (3n-1)! (n!)^{-3} z^n \ \partial_t F(z) &\sim G_{3,3}^{3,1} (-z||1/3) + G_{3,3}^{3,1} (-z||2/3) \sim \ln(z)^2 + ... \end{split}$$

invert t(z) and insert, integrate:

$${\cal F}(t)=-1/18t^3+\sum_n N_n Li_3(e^{-nt})$$
 indeed integers... counting world-sheet instantons in P²

Recap: N=2 Special Geometry and Mirror Symmetry

 Quantity of interest: N=2 prepotential of type II compactifications on CY threefolds

$${\cal F}(t) \; = \; rac{1}{2} X_a {\cal F}^a(z(t))$$

Building blocks: periods

$$\Pi_A(z) \; \equiv \; ig(X_a, \mathcal{F}^big) \; = \; \int_{\gamma_A^3} \Omega^{(3,0)}(z)$$

in practice obtained as solution of PF diff eqs; these are obtained directly from the toric CY data

(A-model)

$$egin{aligned} \partial_i\partial_j\partial_k\mathcal{F}(t) &= c_{ijk}(t) = \ &= c_{ijk}^{(0)} + \sum_{n_l} N_{n_in_jn_k}n_in_jn_krac{\prod_m q_m{}^{n_m}}{1-\prod_m q_m{}^{n_m}} \end{aligned}$$
 (classical) (instanton corrections)

~ deformed chiral ring structure constants

$$\mathcal{R}^{(c,c)}: \hspace{0.1cm} O_i \cdot O_j \hspace{0.1cm} = \hspace{0.1cm} \sum_k c_{ij}^{\hspace{0.1cm} k}(t) O_k$$

Mirror symmetry implies

$$\mathcal{R}^{(c,c)}(X) \;\cong\; \mathcal{R}^{(a,c)}(\widehat{X}) \;\cong\; H^3_{ar{\partial}}(\widehat{X})$$